

Adult Protective Services

Pennsylvania Link to Aging and Disability Resources



Adult Protective Services History



- The Adult Protective Services (APS) Law (Act 70 of 2010) was enacted to provide protective services to adults between 18 and 59 years of age who have a physical or mental impairment that substantially limits one or more major life activities
- Funding first provided during state fiscal year 2012-13
- Act 70 is the bridge between CPSL and OAPSA and mirrors OAPSA in many ways

Adult Protective Services History



- Prior to April 1, 2015, there was a Memorandum of Understanding (MOU) between the Department of Human Services (DHS) and the Pennsylvania Department of Aging (PDA) to provide interim APS coverage prior to completion of the competitive bidding process
- Effective April 1, 2015, Liberty Healthcare Corporation is the statewide contracted provider of protective services



Liberty Healthcare Responsibilities

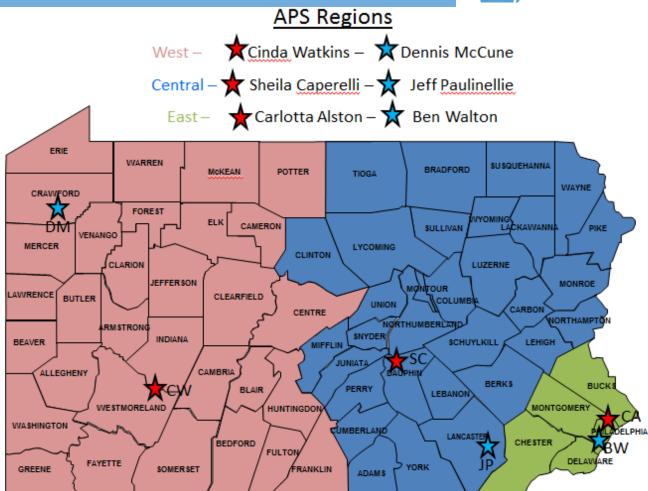


What is the APS Agency (Liberty Healthcare Corporation) required to do?

- Investigate allegations
- Determine if abuse, neglect, exploitation or abandonment has occurred
- Provide services to adults who voluntarily consent
- Cooperatively develop a service plan with agency staff, the adult, the adult's guardian and other family and advocates when appropriate
- Provide services in the least restrictive environment and the most integrated setting
- Provide Guardianship as needed

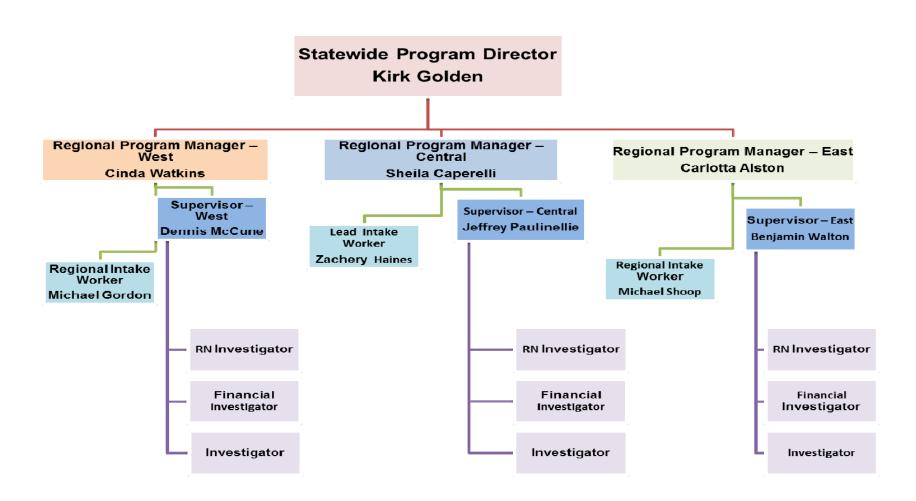
Liberty Healthcare APS Regions





Liberty Healthcare Organizational Structure





Liberty Healthcare APS Statewide Contacts



Statewide Program Director

Kirk Golden kirkg@libertyhealth.com 724-774-6751

Regional Program Manager - West

Cinda Watkins cwatkins@libertyhealth.com 267-264-8652

Regional Program Manager - Central

Sheila Caperelli scaperelli@libertyhealth.com 267-264-8761

Regional Program Manager - East

Carlotta Alston
calston@libertyhealth.com
267-264-8719

Protective Services Supervisor - West

Dennis McCune dmccune@libertyhealth.com 267-418-6304

Protective Services Supervisor – Central

Jeff Paulinellie jpaulinellie@libertyhealth.com 267-418-6436

<u>Protective Services Supervisor – East</u>

Ben Walton bwalton@libertyhealth.com 267-418-6789

Eligibility Criteria



Who is eligible to receive protective services?

- A resident of the Commonwealth
- An adult between 18 and 59 years of age with a physical or mental impairment that substantially limits one or more major life activities
- An adult who needs the assistance of another person to obtain protective services in order to prevent imminent risk to person or property





Call the Statewide Protective Services Hotline (1-800-490-8505) to report an allegation of suspected abuse, neglect, exploitation or abandonment of an individual between 18 and 59 years of age with a physical or mental impairment



Mandated Reporters must also contact law enforcement and DHS for cases of suspicious death, serious injury, serious bodily injury or sexual abuse.





Protective Services Hotline is answered by local Area Agency on Aging (AAA) who completes a Report of Need (RON) and documents the report in the Social Assistance Management System (SAMS)





The AAA notifies Liberty
Healthcare that the RON is in SAMS.



Liberty Intake staff
also notify all
appropriate
licensing agencies
of the Report of
Need



Liberty Healthcare Intake
Staff evaluate information in
the RON to determine if
individual meets eligibility
criteria and classifies the
case as either "Priority, Nonpriority, or No Need"



Cases determined to be "No Need" will be reviewed by an APS Supervisor and may be referred for other services as needed





All cases classified as "Priority" or "Non-priority" are assigned to an APS caseworker for investigation. Investigations must be initiated within 24 hours for "Priority" cases and within 72 hours for "Non-priority" cases.





APS Caseworker initiates investigation within required timeframes, assesses risk, investigates allegation(s), determines if allegation is substantiated or unsubstantiated, and mitigates risk if necessary.







APS may provide or arrange for services intended to ensure the adult's immediate safety and well-being.





Protective services provided must be the least restrictive and in the most integrated setting. An adult shall only receive protective services voluntarily. In no event may protective services be provided to an adult who refuses consent to the services or who, having consented, withdraws the consent, unless the services are ordered by a court.

Report of Need Categorization



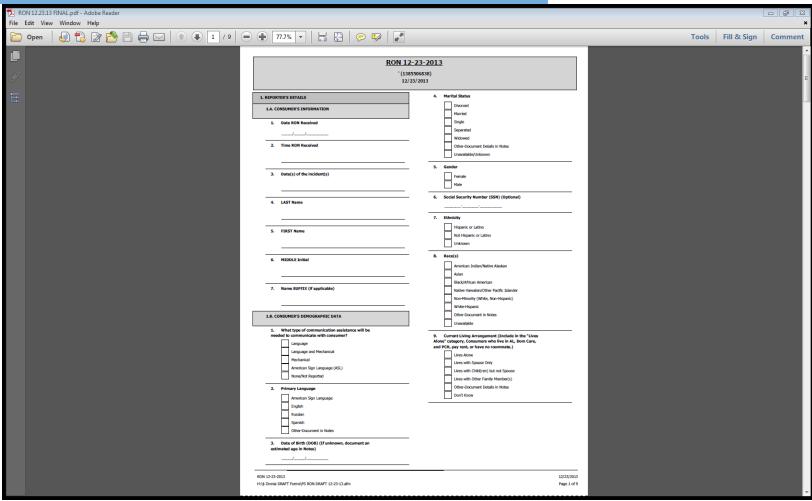
- <u>Priority:</u> Priority reports require immediate attention because specific details in the report indicate the possibility that the adult reported to need protective services is at imminent risk of death or serious injury or serious bodily injury. The investigation shall be initiated immediately for a priority report.
- Non-priority: A non-priority report does not appropriately fall within the priority category and, therefore, does not require immediate attention by the agency. These investigations must be initiated within 72 hours.

Report of Need Categorization



- No need for protective services: A report shall be placed in this category when the person reported to be in need of protective services meets either of the following criteria:
 - (a) has the capacity to perform or obtain, without help, services necessary to maintain physical or mental health
 - (b) is not at imminent risk or danger to his person or property

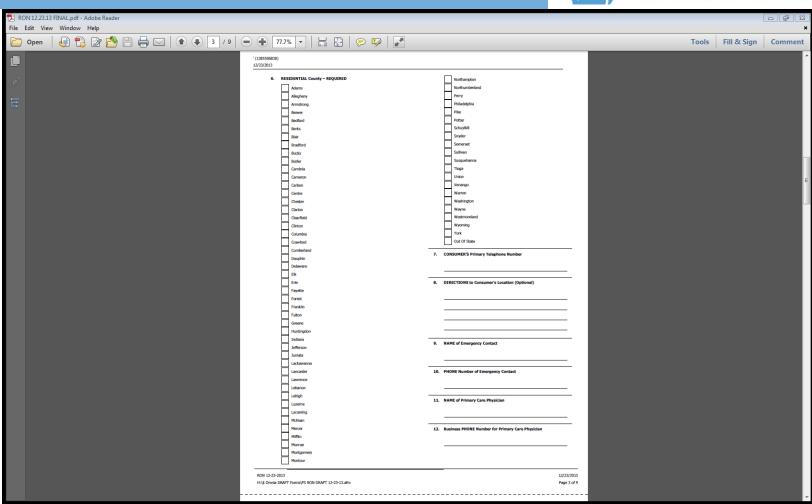




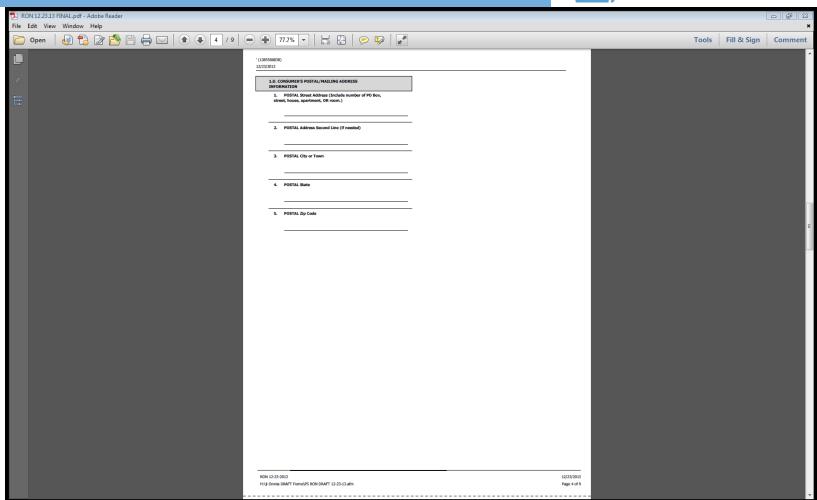


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	10. CONSUMER'S type of residence at time of reported			
	event			
臣	Apartment			
L _a .	Assisted Living (AL) CRR (Mental Health)			
	Caretaker/Caregiver's Home			
	Community Homes for Individuals with ID			
	Domicillary Care Home (DC)			
	Family Living/Shared Living			
	Intermediate Care Facility (ICF)			
	Homeless			
	Inpatient Psychiatric Facility			
	Long Term Structured Residence (LTSR/MH) Mental Health			
	Nursing Facility			
	Own Home			
	Personal Care Home (PCH)			
	Other-Document Details in Notes Ueknown			
	Unknown			
	 Identify where the incident occurred. If County is different than residence, document details in notes. 			
	different than residence, document details in notes.			
	1.C. CONSUMER'S RESIDENTIAL ADDRESS INFORMATION			
	 Name of facility, if residing in a facility. (If not residing in a facility, document as N/A.) 			
				
	 RESIDENTIAL Street Address (Include number of house, apartment, or room.) 			
	,			
	3. RESIDENTIAL Street Address Second Line (if			
	needed)			
	 RESIDENTIAL City or Town (Optional and must be located within the required residential municipality.) 			
	5. RESIDENTIAL Municipality - REQUIRED (Usually a			
	Township or Borough where Consumer Votes, Pays Taxes.)			
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	2. REPORTER'S OBSERVATIONS	Mental Illness		
	2.A. CONSUMER'S CURRENT SITUATION	Medical Diagnoses Leading to Physical Disability Physical Disability		
	Identify ALL ALLEGATIONS made by the reporter.	Speech Impairment		
	Document ALL Details provided regarding EACH	Other-Document Details in Notes		
	ALLEGATION in the Notes section. Physical abuse	Unknown		
	Emotional abuse	5. Indicate the types of substance abuse:		
	Self neglect	None/Not reported		
	Caretaker/Caregiver neglect	Alcohol		
	Exploitation	Illegal drugs		
	Abandonment	Misusing prescribed medications		
	Sexual abuse	Other-Document Details in Notes		
	Is the consumer in a life threatening situation?	6. Reported emotional and mental conditions of		
	Yes	Consumer - Document all Details in Notes. None/Not Reported		
	No	Confusion (ie. memory loss, wandering)		
	Unknown	Disoriented (ie. to person, place, or time)		
	 Reported physical and health conditions of consumer - Document ALL Details in Notes. 	Feels threatened or Intimidated		
	None/Not reported	Hallucinations (ie. hearing voices, seeing non-existent objects or people)		
	Amputation	Recent suicidal talk/actions/thoughts		
	Arthritis	Unable to communicate and/or comprehend		
	Functional limitations	Other-Document Details in Notes		
	Medication mismanagement (ie. undermedicated, substance abuse)	Unknown		
	Physical trauma (le. bruises, cuts, burns, signs of sexual abuse)	Reported problems with the physical environment Gosumer - Document all Details in Notes.		E
	Poor personal hygiene (ie. dirty, odorous, poor dental	None/Not reported		
	health)	Architectural barriers (ie. inaccessible, bathroom,		
	Poor nutritional status (ie. mainourished, dehydrated, weight loss)	stainway) Garbage/trash accumulation		
	Recent hospitalizations (ie. hospitalized in last 30 days)	Garbage/trash accumulation Inadequate utilities (ie. heat, plumbing)		
	Unmet personal needs (ie. lack of false teeth, eyeglasses, hearing aid)	In need of repair		
	Untreated medical condition (ie. ulcerations, bedsores)	Insect/pest problem(s)		
	Other-Document Details in Notes	Pet/animal problem(s) (ie. overpopulation, inadequate care)		
	Unknown	Safety hazard(s) (ie. fire danger, leaky roof)		
	4. Type of disability(ies) reported:	Other-Document Details in Notes		
	None/Not Reported	Unknown		
	ALS (Lou Gehrig's)			
	Alzheimer's/Dementia Autism Spectrum Disorder			
	Autism Spectrum Disorder Blind/Visually Impaired			
	Brain Injury (Traumatic/Acquired)			
	Chemical Dependency, including Alcohol and Substance			
	Abuse DD/ID			
	Deaf/Hearing Impaired			
	Epikepsy			
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	8. Note any dangers - Document Details in Notes. Second Details (Proceed) Proceed Proceed	12/20/2013 Page 6 of 9
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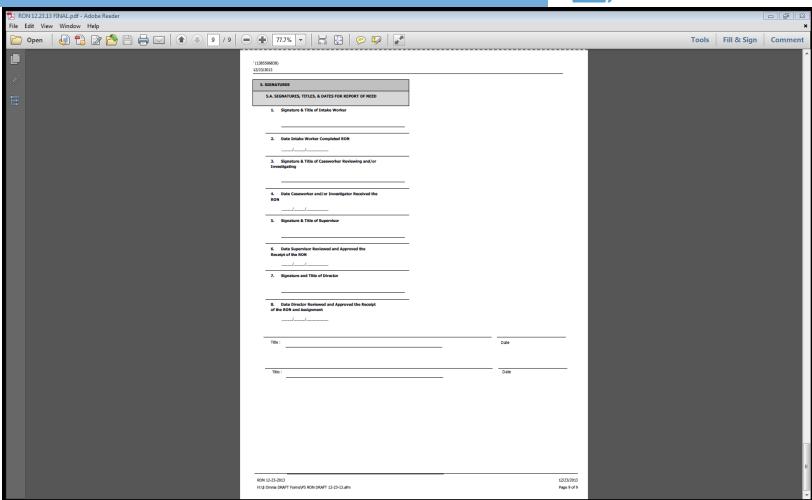


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3. REPORTER'S DATA	Home Care Registry-OOH	
3.A. REPORTER'S INI	In-Home Direct Service Worker	
1. REPORTER'S	S First and Last Name Licensed Home Heath Care (HH)-201 Long Term Structured Residence (LTS Nursing Home-COH	
2. REPORTER'S	Older Adult Daily Living Center (OADL	ADLC)
_	Other Public Funded Entity (Licensed Document Details in Notes	sed or Unicersed) -
3. Is this a MAN	Salte Pierital Piospital-OPW	
□ No	Type of abuse reported Sexual abuse	
4. Type of VOLI	UNTARY Reporter Serious bodilly injury (risk of death, pt if Perpetrator (AP) disfigurement, loss/impairment)	
Area Ap	gency on Aging (AAA) Serious physical Injury (causes severe physical functioning) mous Suspicious death	ere pain, Impairs
Consum	d Living Facility (AL) Abuse not listed above-Document Definer	
Family N	beauty)	spicious
	Health Care Agency reporting requirements to the appropriate S Agency and Law Enforcement?	
	oforcement Agency No (Not one of the four serious, skip t	kip to 3.C.4)
LTC On	2. Date the PS Agency reminded the organization/facility of the additional report	
Social Sc		ency and Law
3.B. MANDATORY RE	EPORTERS (If report is voluntary, skip 3. Time the PS Agency reminded the	
to AA) I. NAME of the Facilities CARNOT	organization/facility- Mandatory requirements to the Appropriate State Agen T be Anonymous. To Anonymous.	
2. Type of MAN	4. When was the mandatory written repo	sport from the
Adult Tri	raining Facility/Vocational Program Richard Program Within 48 hours	ency/Entity?
Assisted	enter (IC/OOH More than 48 hours d Living Facility (IL.) Not neceived Not neceived	
Commu (CRS)-	unity Residential Rehabilitation Services 5. Did the PS Agency forward the facility written report to the appropriate State Agen	
Hospice	-	
	al LTC-DOH Care Agency-DOH	
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	"(138556638) 12/22/2013 4. REPORT OF NEED SUMMARY	Based on review of the RON, what	
E:	4. REPORT OF NEED SUMMARY 4.A. REPORT OF NEED SUMMARY	organizations/agencies were notified of the RON? Check all that apply. Document in the Notes the dates	
	1. What is the Category assigned to the Report of Road at the Category assigned to the Report of Road at Installar) Caregory - Jamestiderly refer to PS	and individual sames contacted for each choice below. Corona Department of Aping (PCM) Department of Health (DCH) Department of Health (DCH) Department of Health (DCH) Law Enforcement - At time of ROM - (Je. NM due to consistent death) Me(CO) Ornbudania Other Occurrent Details in Notes None-Docurrent Details in Notes 6. If referred to a different entity, discurrent the entity, country name, and name of individual receiving report.	
	No Yes-Skip to 4.8.5		
	4. If the Category assigned at Indake to this Report of Need was changed, enter the proporpriete Category here. Energyency-Immediately refer to PS Plotiny-Immediately refer to PS Non-Priority-Named business No need for PS-Explain in Notes Referred to another entity		
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Mandatory Reporters



Who is a mandated reporter?

- Assisted Living Facility
- Domiciliary Care Home
- Home Health Care Agency
- Intermediate Care Facility for Individuals with Intellectual Disabilities or with Other Related Conditions
- Nursing Facility
- Older Adult Daily Living Center
- Personal Care Home
- Residential Treatment Facility
- An organization or group of people that use public funds and is paid, in part, to provide care and support to adults in a licensed or unlicensed setting

General Reporting Requirements



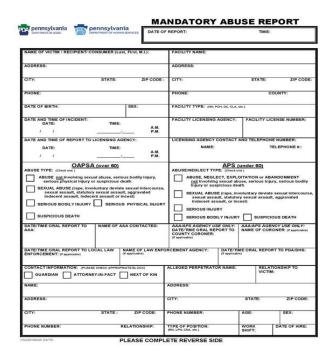
- An administrator or employee who has reasonable cause to suspect that a recipient is a victim of abuse, neglect, exploitation or abandonment will immediately make an oral report to the statewide **Protective Services Hotline by calling 1-800-490-8505**.
- 2. Within 48 hours of making the oral report, the administrator or employee will email a written report to Liberty Healthcare at the following address:

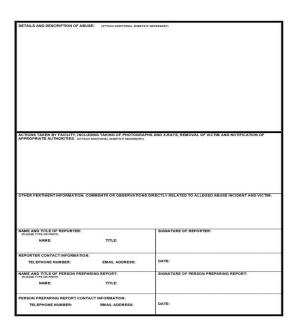
 RA-PWAPSMandatoryRon@pa.gov or fax the report to 484-434-1590. The following written report forms may be used:
 - ☐ The mandatory reporting form found on the Department's website;
 - ☐ An administrator or employee of a nursing facility, licensed by Department of Health, may submit a PB-22 form;
 - ☐ An administrator or employee may submit a Home and Community Services Information System (HCSIS) incident report (Printable Summary) or an Enterprise Incident Management (EIM) report.

General Reporting Requirements



 An administrator or employee of a facility will continue to follow all required incident management regulations, policies and procedures





Additional Reporting Requirements



If the case involves sexual abuse, serious injury, serious bodily injury or suspicious death, in addition to the previous steps, an employee/administrator must <u>also:</u>

- 1. Make an immediate oral report to law enforcement
- 2. Make an immediate oral report to the DHS staff responsible for the Adult Protective Services Program at **717-265-7887**, **select option #3**.
- 3. Within 48 hours of making the oral report, submit a written report to law enforcement. This written report can be the mandatory reporting form found on the Department's website, the PB-22, a HCSIS incident report, or the EIM report form.

Please see the written guidance provided to employees and administrators of facilities for specific details and definitions



Abuse:

- Infliction of injury, unreasonable confinement, intimidation or punishment with resulting physical harm, pain or mental anguish
- Willful deprivation by a caregiver of goods or services which are necessary to maintain physical or mental health
- Sexual harassment, rape or abuse as the term is defined in 23 Pa.C.S. § 6102

Neglect: The failure to provide for oneself or the failure of a caregiver to provide goods, care or services essential to avoid clear and serious threat to the physical or mental health of an adult



Exploitation: An act or course of conduct by a caregiver or other person against an adult or an adult's resources, without the informed consent of the adult or with consent obtained through misrepresentation, coercion or threats of force, that results in monetary, personal or other benefit, gain or profit for the perpetrators or monetary or personal loss to the adult

Abandonment: The desertion of an adult by a caregiver



Serious bodily injury:

- Injury that:
 - (1) creates a substantial risk of death; or
 - (2) causes serious permanent disfigurement or protracted loss or impairment of the function of a body member or organ

Serious injury:

- An injury that:
 - (1) causes a person severe pain; or
 - (2) significantly impairs a person's physical or mental functioning, either temporarily or permanently



Sexual abuse:

 Intentionally, knowingly or recklessly causing or attempting to cause rape, involuntary deviate sexual intercourse, sexual assault, statutory sexual assault, aggravated indecent assault or incest, as defined by 18 Pa.C.S. (relating to crimes and offenses)

Sexual Harassment



Sexual Harassment:

- Sexual harassment is unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature.
- NOTE: Sexual harassment is an abuse that requires reporting to the Protective Services Hotline; however, it is not sexual abuse which requires reporting to DHS and local law enforcement.





NEXT SLIDES CONTAIN GRAPHIC PICTURES

Condemned Home





Hoarding





Photos Prior to Double Amputation





Decubitus Ulcer





Decubitus Ulcer





Paid Caregiver Neglect





Neglect





How to Report



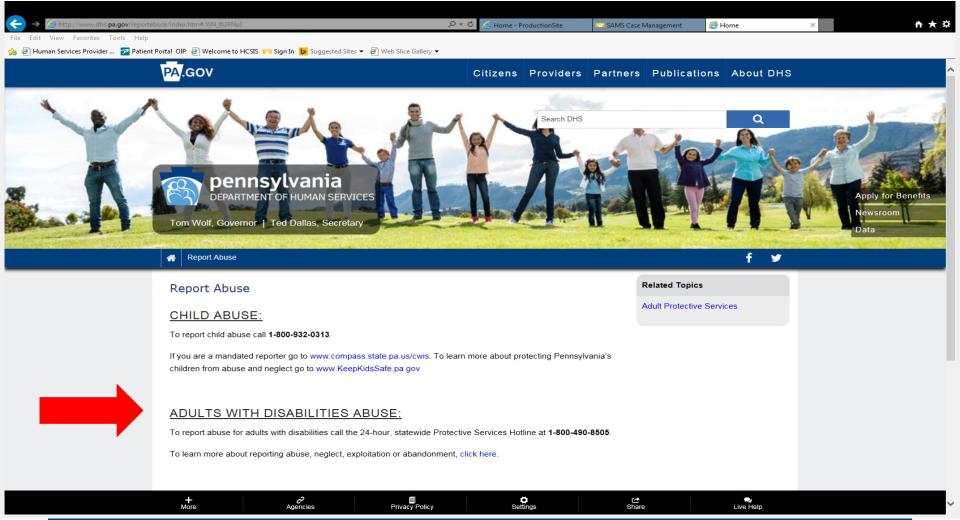


HOW TO REPORT

1-800-490-8505

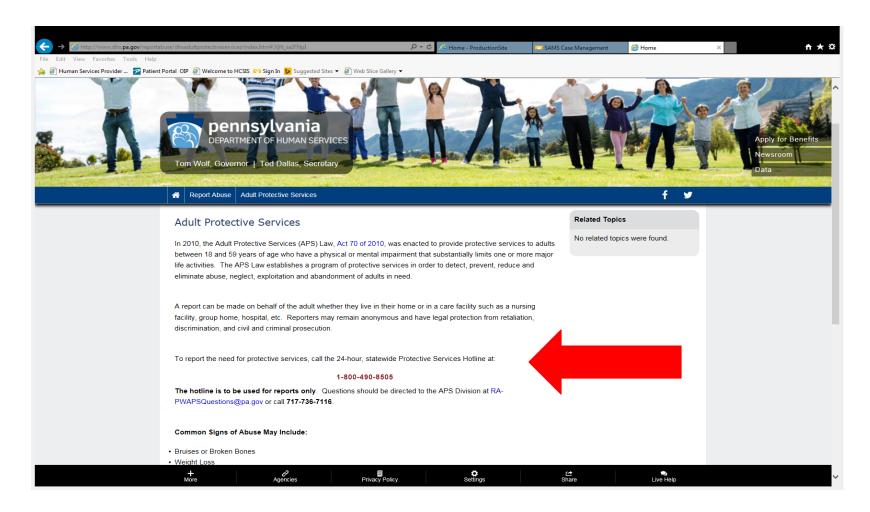
www.dhs.pa.gov/reportabuse





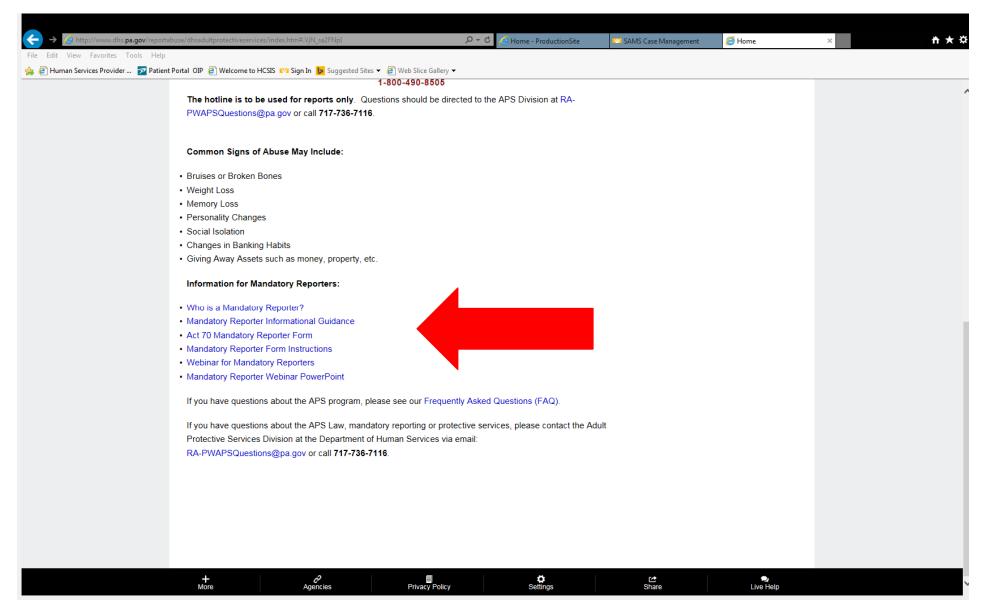
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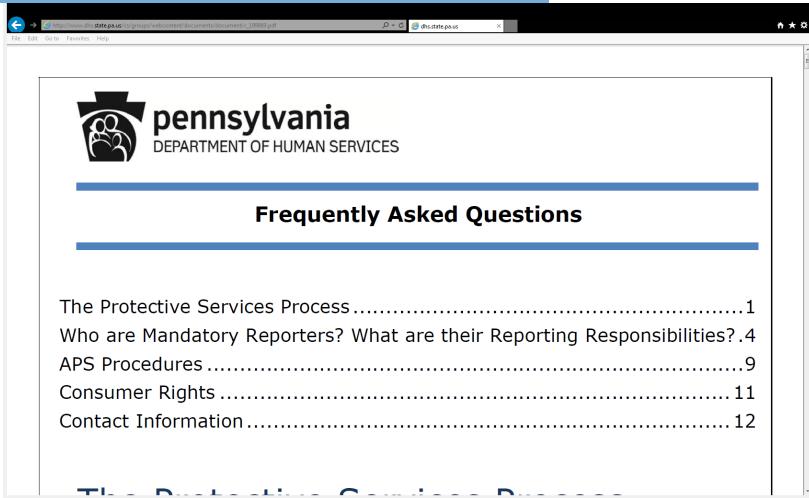
www.dhs.pa.gov/reportabuse





Frequently Asked Questions (FAQs)





Questions and Additional Information



 Questions or requests for additional information regarding the Adult Protective Services program can be sent to the following email address:

RA-PWAPSQuestions@pa.gov

If you do not have access to email, please call:

717-736-7116