**APPROVED** 

First Reading: 01/12/11 Passed 9-0: 02/09/11

COUNTY OF LEHIGH, PENNSYLVANIA COMMISSIONERS BILL 2011-01 SPONSORED BY COMMISSIONER JONES REQUESTED DATE: DECEMBER 29, 2010 ORDINANCE NO. 2011-104

# APPROVING PROFESSIONAL SERVICES AGREEMENTS WITH GWIN KROUSE, ESQUIRE AND K. LEIGH McCARDLE, ESQUIRE

WHEREAS, §801.1(B) of the Administrative Code of the County of Lehigh requires ordinance approval for nonbid professional service agreements over ten thousand dollars (\$10,000.00); and

WHEREAS, the Department of Court Administration requests that the County of Lehigh enter into agreements with Gwin Krouse, Esquire and K. Leigh McCardle, Esquire to provide services as Mental Health Review Officers.

NOW, THEREFORE, IT IS HEREBY ENACTED AND ORDAINED BY THE BOARD OF COMMISSIONERS OF THE COUNTY OF LEHIGH, PENNSYLVANIA, THAT:

- 1. The proposed agreements for professional services with Gwin Krouse, Esquire and K. Leigh McCardle, Esquire, marked Exhibit "A" attached hereto and made a part hereof by this reference, are hereby approved.
- 2. The proper officers and other personnel of Lehigh County are hereby authorized and empowered to take all such further action, including any necessary transfers of funds, and execute additional documents as they may deem appropriate to carry out the purpose of this Ordinance.
- 3. The County Executive shall distribute copies of this Ordinance to the proper offices and other personnel of Lehigh County whose further action is required to achieve the purpose of this Ordinance.

- 4. Any Ordinance or part of any Ordinance conflicting with the provisions of this Ordinance is hereby repealed insofar as the same affects this Ordinance.
  - 5. This Ordinance shall become effective in ten (10) days after enactment.

**ADOPTED** this 9th day of February, 2011 by the following vote:

Commissioners	<u>AYE</u>	NAY
Dean N. Browning	X	
Thomas C. Creighton, III	X	
Percy H. Dougherty	X	
Glenn Eckhart	$\mathbf{X}$	
Gloria L. Hamm	X	
William H. Hansell	X	
David S. Jones, Sr.	X	
Daniel K. McCarthy	X	
Andy Roman	X	

ATTEST: Darl Burelly	
Clerk to The Board of Commissioners	
APPROVED this Little day of February	_, 2011.
Donald T. Cunningham, Jr. County Executive	
ENACTED this <u>fleth</u> day of <u>Jehruary</u>	, 2011

Contract Number	•
Copy ID#:	
Completed:	<del></del>

# **COUNTY OF LEHIGH**

# **CONTRACT FOR PROFESSIONAL SERVICES**

PURPOSE OF CONTRACT: Mental Health Review Officer

AWARDED TO: Gwin Krouse, Esquire

Telephone: (610) 433-8462 Fax: (610) 433-4504



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### PROFESSIONAL SERVICE CONTRACT

This is a contract between the County of Lehigh, a Home Rule County of the third class, with offices at 17 South Seventh Street, Allentown, PA, 18101-2401 hereinafter referred to as the COUNTY, and Gwin Krouse, Esq. of 1425 Hamilton Street, Allentown, PA 18102, hereinafter referred to as the PROVIDER.

#### WITNESSETH,

WHEREAS, the COUNTY wishes to purchase, and the PROVIDER wishes to furnish the services cited below according to all applicable Federal, State, and Local Laws; and,

WHEREAS, the services referred to are professional in nature;

**NOW THEREFORE**, the COUNTY and the PROVIDER, in consideration of the obligations herein undertaken and intending to be legally bound, hereby agree as follows:

#### I. SCOPE OF SERVICES

- A. The PROVIDER shall provide the following services: to provide services as a Mental Health Review Officer.
- B. These services and the requirements for their provision are set forth more fully in Appendix 'A' attached hereto and incorporated as if set forth in full.

#### II. TERM OF CONTRACT

A. The contract shall become effective when executed by the Lehigh County Executive according to the date parameters as stated below:

Beginning Date: January 1, 2011 Termination Date: December 31, 2011

- B. The contract may be terminated by either party upon default of agreed terms as herein stated, in writing and providing thirty days notification. Should the PROVIDER lose its license or certification the contract may be terminated immediately by the COUNTY. COUNTY may terminate this contract with or without cause, by providing thirty days written notice to the PROVIDER. The County Executive is authorized to terminate any contract with the PROVIDER pursuant to the provisions of this sub-paragraph.
- C. COUNTY shall be liable only for payments due the PROVIDER as set forth in this Contract up to and including the date of termination.

- D. This contract may be extended for two (2) additional terms of one year each, upon signed agreement of both parties. Any renewal must be in writing and signed by both parties.
- E. The PROVIDER and the COUNTY hereby agree the COUNTY may assign any or all of its rights and delegate any or all of its obligations or responsibilities under this Contract upon twenty (20) days written notice to PROVIDER in the manner set forth in Article XVII of this Contract.

#### III. COMPENSATION

- A. The COUNTY shall pay for the services rendered by the PROVIDER, according to the provisions which are identified in Appendix 'B' attached hereto and incorporated by this reference as if set forth in full.
- B. The PROVIDER hereto agrees that any and all payments due from the COUNTY as required under the terms of this contract, are contingent upon the availability of the appropriated funds. If any or all of the funds which are due to the PROVIDER emanate from State or Federal sources, payment is also contingent upon the COUNTY receiving such moneys from the State or Federal Government.
- C. PROVIDER explicitly agrees that it will not submit to COUNTY any false claim, as defined in the False Claims Act, 31 U.S.C. § 3729 et seq.
- D. With respect to any billing, COUNTY reserves the right to withhold payment for any portion of any statement in which it asserts that a discrepancy exists, which discrepancy should be corrected by a new statement. In such instances, COUNTY may withhold payment only for that portion of the statement with which it disagrees. Further, it shall be the duty of COUNTY to notify PROVIDER of any such disagreement or discrepancy as soon as possible.

### IV. SCHEDULE OF ATTACHMENTS

A. The PROVIDER shall be bound by the following appendices attached hereto, incorporated herein as if set forth in full:

Appendix A - Scope of Services

Appendix B - Required Knowledge

Appendix C - Compensation

Appendix D - Amendments and Special Provisions

## V. COVENANTS, REPRESENTATIONS AND WARRANTIES

- A. The COUNTY covenants, represents, and warrants:
  - 1. The person or persons signing on behalf of the COUNTY are duly authorized to do so.
  - 2. That this contract is entered into by the Lehigh County Executive pursuant to his authority under section 402(j) of the Lehigh County Home Rule Charter.
  - 3. That the COUNTY is in compliance with all applicable federal, state and local laws especially, but without limitation to all statutes, ordinances, rules, and regulations governing any and all federal and state funding of the contract.
  - 4. That if the representations in subparagraphs 2 or 3 above should at any time hereafter become incorrect, the COUNTY will promptly take all steps to correct the noncompliance.
- B. The PROVIDER covenants, represents and warrants:
  - 1. The person or persons signing on behalf of the PROVIDER are duly authorized to do so.
  - 2. That the PROVIDER is entering into this contract either in the ordinary course of its business activities or pursuant to a resolution of its Board of Directors (or other governing body) validly called and held. If requested, the resolution, including in it the names and positions of the persons authorized to sign this contract, shall be forwarded to the County upon the signing of this contract.
  - 3. That the PROVIDER now complies with and will continue to comply with for the duration of this contract, all applicable law in its business and activities which pertain to the performance or funding of this contract, including, without limitation, the following:
    - a. The Fair Labor Standards Act, the Labor Management Relations Act (Taft-Hartley); and the Labor Management and Reporting and Disclosure Act (Landrum-Griffin).
    - b. Occupational Safety and Health Act, and OSHA regulations thereunder.
    - c. Worker's Compensation Laws.

- d. The Environmental Protection Act, EPA regulation and the laws and regulations administered by the Pennsylvania Department of Environmental Resources.
- e. Title VII of the Civil Rights Act of 1964, all EEOC regulations and all laws relating to equal employment opportunity.
- f. The Equal Pay for Equal Work Law and all other laws relating to sex discrimination.
- g. The Federal Age Discrimination in Employment Act and any amendments thereto, 29 Section 620 et seq., especially the 1978 amendments thereto, Public 95-256, Section 1 et seq., Act of April 6, 1978, 92 Statute 189 and hereby states that it will not mandate the retirement of any employee on the basis of age, or for any other reason prohibited by the aforesaid act.
- h. The Older Americans Act of 1965 as amended (P.L. 89-73, Section 1, Stat. 219) and the Administrative Code of 1929 as amended by Act 1978-7 (P.L. 177, No. 175) and all regulations promulgated thereunder.
- i. Those laws relating to the fiscal management and accounting of public funds. The COUNTY, in its sole discretion, shall have the privilege of examining and or auditing the records of the PROVIDER which pertain to this contract to ascertain or verify compliance with this subparagraph.
- j. The Americans with Disabilities Act.
- k. The Immigration, Reform, and Control Act of 1986.
- 1. The Pro-Children Act of 1994.
- m. The False Claims Act, 31 U.S.C. § 3729 et seq.
- 4. The PROVIDER acknowledges that in the event there is any violation of applicable laws or regulations by the PROVIDER, the COUNTY may deem the violation to be a breach of this contract by the PROVIDER.
- 5. The PROVIDER agrees that no employee, board member, or representative of the PROVIDER, either personally, or through an agent, shall solicit the referral of clients to any facility in a manner, which offers or implies an offer of rebate to persons referring clients or other fee-splitting inducements. This

applies to consents of fee schedules, billing methods, or personal solicitation. No person or entity involved in the referral of clients may receive payment or other inducement by a facility or its representatives. The PROVIDER shall substantially include the language of this Paragraph in each subcontract under this Contract.

- 6. The PROVIDER agrees that all experimentation with human subjects involving physical or mental risk to those subjects shall be prohibited without the prior written approval of the Secretary of the Department of Health, subject to all applicable laws, statutes and regulations, and voluntary, informed consent of the subject in writing. If the subject is a minor, or incompetent, a voluntary, informed consent of his/her parents or legal guardian shall be required.
- 7. The PROVIDER is duly organized, validly existing, and in good standing under the laws of Pennsylvania and the state in which the PROVIDER is organized as previously noted in the Opening Paragraphs of this Contract.
- 8. That PROVIDER, as a condition precedent to payment, shall upon request of COUNTY promptly furnish evidence of compliance of any sub-paragraph herein.

#### VI. TAXES

- A. The PROVIDER hereby certifies, as a condition precedent to the execution of this contract and as an inducement for the COUNTY to execute same, that it is not "delinquent" on any taxes owed to the County of Lehigh (hereinafter "COUNTY"). "Delinquent" is hereby defined as the point in time at which the collection of the tax becomes the responsibility of the Lehigh County Tax Claim Bureau.
- B. The PROVIDER further agrees, as a specific condition of this contract, that it shall remain current on all of the taxes it owes to the COUNTY. Should the PROVIDER become delinquent on any taxes it owes to the COUNTY during the term of this contract, the PROVIDER may be deemed to be in breach of this contract by the COUNTY and, in addition to any other remedies at law for such breach, the PROVIDER hereby specifically agrees and authorizes the COUNTY to apply all funds when due to the PROVIDER directly to the taxes owed to the COUNTY until said taxes are paid in full.
- C. In the event the PROVIDER becomes delinquent, it hereby authorizes the COUNTY to make payments to the taxing authority for the COUNTY to bring the PROVIDER'S county taxes current.

#### VII. UNDUE INFLUENCE

The PROVIDER agrees not to hire any COUNTY Personnel who may exercise or has exercised discretion in the awarding, administration, or continuance of this contract for up to and including one year following the termination of the employee from COUNTY service. Failure to abide by this provision shall constitute a breach of this contract.

#### VIII. CONFLICT OF INTEREST

- A. The PROVIDER agrees to notify in writing the COUNTY as soon as the PROVIDER learns that:
  - 1. A current employee of the COUNTY has commenced, or is intending to commence, employment with PROVIDER while continuing to maintain COUNTY employment, or
  - 2. A current employee of the COUNTY has performed, or is intending to perform, services to the PROVIDER as an independent contractor while continuing to maintain COUNTY employment. Any written notice required to be given under this section shall specify the COUNTY employee's name, the nature of the COUNTY employee's employment, or the subject of the COUNTY employee's contract with the PROVIDER and the date on which the COUNTY employee's employment or contract with PROVIDER commenced.

#### IX. BREACH OF CONTRACT

- A. The PROVIDER agrees that any breach of performance, of any covenant, representation, or warranty, indemnity, or condition, or attached appendices, shall constitute default of this contract.
- B. When a breach of this contract has occurred, the COUNTY, in the exercise of its discretion, may allow PROVIDER a specified period of time to correct its breach of the contract.
- C. If PROVIDER does not correct its violations of the contract as specified, COUNTY may terminate the contract in whole or in part if such partial termination is in the best interest of the COUNTY.

### X. CONFIDENTIALITY

A. The PROVIDER and the COUNTY, their agents and employees shall perform their respective obligations under this contract in such manner as to insure that records, names, and identities of persons to whom services are or have been provided, shall remain confidential except as disclosure is permitted or required by law. Photographs, videos, and/or recordings,

which in any way identify clients, shall not be released without the written consent of the legally responsible party, and the COUNTY representative.

B. Termination of this Contract for any reason shall not relieve the PROVIDER of any of PROVIDER'S obligations as stated in this paragraph.

### XI. DISCRIMINATION CLAUSE

In carrying out the terms of this contract, both parties agree not to discriminate against any employee or client or other person on account of age, race, color, sex, religious creed, national origin, marital status, or physical or mental disabilities as set forth in the Americans With Disabilities Act of 1990. PROVIDER and COUNTY shall comply with the Contract Compliance Regulations of the Pennsylvania Human Relations Commission, any pertinent Executive Order of the Governor and with all laws prohibiting discrimination in hiring or employment opportunities.

#### XII. INDEMNIFICATION AND HOLD HARMLESS

- A. The PROVIDER shall indemnify and hold harmless the COUNTY and each of its officials, employees, and agents from any and all suits, actions, legal or administrative proceedings, claims, demands, damages, liabilities, interest, attorney's fees, costs and expenses for whatsoever kind or nature, to the extent result from any:
  - 1. Breach of the contract by PROVIDER;
  - 2. Professional error or omission, fault, or negligence by PROVIDER or any one acting under its direction, control of or on its behalf in connection with or incident to its performance of this contract; and
  - 3. General public liability claim arising in connection with the business or activities of PROVIDER which pertains to this contract.
- B. If any claim is made against COUNTY which would give rise to a right of indemnification by COUNTY from PROVIDER, COUNTY will give notice thereof to PROVIDER. The COUNTY may permit the PROVIDER to assume the defense of any such claim, or any litigation resulting therefrom. Counsel for PROVIDER, which will conduct the defense of such claim or litigation, must be approved by COUNTY, whose approval will not unreasonably be withheld. If COUNTY consents to permit PROVIDER to assume defense, COUNTY may participate in such defense. Neither party will consent to entry of any judgement or enter into any settlement without the written consent of the other party, which consent will not unreasonably be withheld. The parties shall cooperate fully with each other and make available to COUNTY all pertinent information under its control.

C. It is expressly understood by PROVIDER that the Pennsylvania state statute, specifically 42 Pa. C.S.A. 8549, which limits recovery against a local government unit and/or its officials and employees to a maximum of \$500,000.00, is not applicable to recovery of damages in an action against PROVIDER.

#### XIII. INSURANCE

A. The PROVIDER shall, at its sole cost and expense, procure and maintain in full force and effect covering the performance of the services rendered under this contract, insurance in the types and limits specified below. In addition to the insurance coverage and limits specified herein, the PROVIDER shall obtain any other insurance coverage as may be required by law.

## 1. Professional Liability Insurance

- a. Limit of Liability: \$1,000,000 by claim and \$2,000,000 in the aggregate.
- b. If PROVIDER is a Medical Professional, PROVIDER shall ensure that all conditions are met for eligibility for MCARE Fund coverage.
- c. Coverage for occurrences happening during the performance of services required under this contract shall be maintained in full force and effect under the policy. The policy shall include a "tail coverage" if a one or two year period of exposure exists.

### 2. General Liability Insurance

- a. Limits of Liability: \$1,000,000 in the aggregate and per occurrence.
- b. Coverage: Premise operations, blanket contractual liability, personal injury liability (employee exclusion deleted), products and completed operations, independent contractors, employees and volunteers as additional insureds, joint liability, and broad form property damage (including completed operations).

### 3. Worker's Compensation and Employer's Liability Insurance

- a. Limits of Liability: Statutory Limits.
- b. Other States' coverage and Pennsylvania endorsement.

## 4. Automobile Liability Insurance

- a. Limit of Liability: \$1,000,000 per occurrence combined single limit for bodily injury (including death) and property damage liability.
- b. Coverage: Owner, non-owned and hired vehicles.
- c. PROVIDER shall ensure that all staff operating said vehicles are licensed drivers and properly insured.

## 5. Umbrella Excess Liability

- a. \$5,000,000 in the aggregate and per occurrence.
- b. Coverage provided shall be over auto liability, general liability, and professional liability.
- 6. Abuse/Sexual Molestation and Corporal Punishment Liability Insurance.
  - a. \$1,000,000 per occurrence; \$3,000,000 in the aggregate.
- B. All insurance provided for in this section shall be obtained under valid and enforceable policies issued by insurers of recognized responsibility, which are licensed to do business in the Commonwealth of Pennsylvania. The COUNTY prefers that Certificates of Insurance evidencing the existence of such insurance shall be submitted to the COUNTY at least ten (10) calendar days before work is begun. If the term of this contract coincides with the term of the PROVIDER'S insurance coverage, a Certificate from the expiring policy will be accepted, but a Certificate evidencing renewed coverage of a new policy must be presented to the COUNTY no later than thirty (30) days after the effective date of the policy.
- C. Each policy and Certificate of Insurance shall contain: an endorsement naming the COUNTY as Additional Insured party thereunder; and a provision that at least thirty (30) calendar days prior written notice be given to the COUNTY in the event coverage is canceled or non-renewed or coverage reduced.
- D. If the PROVIDER desires to self insure any or all of the coverages listed in this section, it shall provide to the COUNTY documentation that such self insurance has received all the approvals required by law or regulations, as well as the most recent audited financial statement of the PROVIDER'S insurance. Any coverage which is self insured shall provide the same coverage, limits and benefits as the coverages listed in this section.
- E. If the PROVIDER fails to obtain or maintain the required insurance, the COUNTY shall have the right to treat such failure as a material breach of the contract and to

exercise all appropriate rights and remedies.

- F. PROVIDER shall include all subcontractors as insurers under its policies or shall furnish separate Certificates and endorsements for each subcontractor. All coverages for subcontractors shall be subject to all of the requirements stated in this contract.
- G. Any modification or waiver of the insurance requirements contained in this section shall be set forth in Appendix 'C'.

#### XIV. INDEPENDENT CONTRACTOR

- A. The PROVIDER is deemed an Independent Contractor and shall not during the term of this contract assign, subcontract, transfer, or otherwise delegate all or part of its obligations or responsibilities without prior written approval of the COUNTY. No relationship of employer-employee is intended nor created by this contract, it being understood that PROVIDER shall render services to the COUNTY on an independent contractor basis. PROVIDER is not entitled to any benefits from the COUNTY including but not limited to compensation other than that set forth in the 'Compensation' section, Worker's Compensation, unemployment insurance or benefits, retirement benefits, pension benefits, Social Security or disability benefits, and professional liability insurance and/or deductibles. PROVIDER expressly agrees and acknowledges that the COUNTY will deduct no employment taxes from any compensation paid to PROVIDER and that PROVIDER will be responsible for the payment of all taxes whatsoever in connection with any compensation received from the COUNTY.
- B. The PROVIDER further agrees and acknowledges that PROVIDER is not authorized under the terms of this contract to bind the COUNTY in any contractual undertakings with any third parties as a result of the within contract and PROVIDER will not make any representation that it is capable of binding the COUNTY.

### XV. MODIFICATION

This document and all attachments which have been incorporated by reference contain all the terms, provisions, and conditions of this contract. No term or provision may be unilaterally modified or amended. Any alteration, variation, modification, or waiver of a provision of this contract shall be valid only when reduced to writing, duly signed by the parties of this contract, and attached to the original of the contract.

#### XVI. REPORT

- A. COUNTY may require PROVIDER to supply to COUNTY reports in the manner, at the times and in the form as prescribed by COUNTY.
  - B. If a final report or study is delivered to COUNTY pursuant to this contract, a copy

of the final report or study shall be provided directly by the PROVIDER to the Board of Commissioners. PROVIDER agrees to present publicly the final report or study should a committee of the Board of Commissioners so desire.

#### XVII. NOTICES

Any notices required to be given pursuant to the terms and provisions hereof shall either be served in person, evidenced by a signed and dated receipt, by facsimile transmission, or by depositing such notice in the United States mail, certified, with certification and postage charges prepaid. In the event of service of notice upon either party pursuant to the terms of this paragraph, their respective facsimile transmission numbers and addresses are as follows:

#### PROVIDER:

Gwin Krouse, Esq. 1425 Hamilton Street Allentown, PA 18102 Phone: (610) 433-8462

Fax: (610) 433-4504

#### **COUNTY:**

County of Lehigh Lehigh County Courthouse 455 W. Hamilton Street Allentown, PA 18101 Attn: William Berndt Phone: (610) 782 3181

Phone: (610) 782-3181 Fax: (610) 820-3093

B. The PROVIDER shall notify the COUNTY in writing prior to changes in the PROVIDER'S location, mailing address, phone number, facsimile number, or name.

#### XVIII. PENNSYLVANIA CERTIFICATION

The PROVIDER shall provide annually, upon renewal, copies of required certifications or licenses. The PROVIDER shall notify the COUNTY in writing within five (5) working days of any loss of its Pennsylvania certification or licensure for any of the services being provided to the COUNTY. Upon notification of any loss of certification/licensor for any of the services being provided to the COUNTY, the COUNTY may terminate this contract immediately.

#### XIX. PROFESSIONALISM

It is contemplated, expected and understood by the parties that PROVIDER will execute and perform the services to be provided to COUNTY in a professional and ethical manner. All

work performed or managed by PROVIDER must be of the highest quality and should conform to all standards, safety guidelines, and design conditions as may be imposed by legitimate regulatory organizations, including governmental agencies and municipalities. All services to be performed under this Contract shall be performed in the most cost-effective manner while still achieving the objectives of COUNTY.

#### XX. AUDIT

- A. The PROVIDER shall maintain and retain all books, documents, papers, and records of the PROVIDER which are related to the performance of this contract or payment under this contract for a period of seven (7) years following final performance under this contract. The record shall properly reflect all net costs, direct and indirect, of labor, materials, equipment, supplies and services and other costs and expenses of whatever nature for which reimbursement is claimed under the provisions of this Contract. If PROVIDER is not a public body, PROVIDER agrees to maintain records which comply with the nationally accepted uniform Standards of Accounting and Financial reporting for Voluntary Health and Welfare Organization.
- B. The Lehigh County Controller, or any of his/her duly authorized representatives shall, at reasonable times, during the term of this contract and until seven (7) years after the final performance under this contract, have access to and the right to examine any books, documents, papers, and records of the PROVIDER which are related to the performance of this contract or payment under this contract for compliance, performance or evaluation.
- C. PROVIDER shall provide to the COUNTY an audit of the financial transactions and/or units of service of the PROVIDER, by an independent auditor, in accordance with the accepted and required auditing standards of COUNTY. Cost of such audit shall be borne by the PROVIDER.

#### XXI. PROVIDER RESPONSIBILITY PROVISIONS

- A. PROVIDER certifies that it is not currently under suspension or debarment by the Commonwealth, any other state, or the federal government, and if the PROVIDER cannot so certify, then it agrees to submit along with the bid/proposal a written explanation of why such certification cannot be made.
- B. If PROVIDER enters into subcontracts or employs under this contract any subcontractors/individuals who are currently suspended or debarred by the Commonwealth or federal government or who become suspended or debarred by the Commonwealth or federal government during the term of this contract or any extension or renewals thereof, the COUNTY shall have the right to require the PROVIDER to terminate such subcontracts or employment.

- C. The PROVIDER agrees to reimburse the COUNTY for the reasonable costs of investigation incurred by the Office of Inspector General for investigation of the PROVIDER'S compliance with the terms of this or any other contract between the PROVIDER and the Commonwealth which result in the suspension or debarment of the contractor. Such costs shall include, but are not limited to, salaries of investigators, including overtime, travel and lodging expenses, and expert witness and documentary fees. The PROVIDER shall not be responsible for investigative costs for investigations which do not result in the contractor's suspensions or debarment.
- D. The PROVIDER may obtain the current list of suspended and debarred contractors by contacting the:

Department of General Services Office of Chief Counsel 603 North Office Building Harrisburg, PA 17125 Phone: (717) 783-6472

Fax: (717) 787-9138

## XXII. CONFIDENTIAL INFORMATION

The PROVIDER has not included confidential or proprietary information or trade secrets as part of any submission to COUNTY. If the PROVIDER has determined that it must divulge such information as part of any submission to COUNTY, the PROVIDER submitted to COUNTY a signed written statement to that effect in accordance with65 P.S. §67.707(b) and additionally provided a redacted version of its submission, which removed only the confidential or proprietary information and trade secrets for public disclosure purposes.

### XXIII. RIGHT TO KNOW

- A. The Pennsylvania Right to Know Law, 65 P.S. §67.101-3104, applies to this Agreement and all documents provided to COUNTY in connection with the administration of the Project Funding.
- B. In the event COUNTY receives a Right-To-Know Law request regarding documents or information in the PROVIDER's possession and relating to the contract or performance thereunder ("Requested Information"), the PROVIDER agrees to provide COUNTY with access to, and copies of, the Requested Information within Fourteen (14) calendar days after notification from COUNTY, and to provide such other assistance as COUNTY may request in order to comply with the Right-to-Know Law.
- C. The PROVIDER agrees that COUNTY's determination as to whether Requested Information is a public record under the Right-to-Know Law is dispositive of the question as

between the parties and the PROVIDER agrees not to challenge COUNTY's determination. The PROVIDER will have the opportunity to submit a written statement to COUNTY explaining why the Requested Information is exempt from public disclosure under the Right-to-Know Law for up to seven (7) calendar days after receiving the request.

- D. The PROVIDER agrees to abide by any decision of the Pennsylvania Office of Open Records, or by the Pennsylvania Courts, to release a record to the public and agrees to release all rights and remedies that may be available to it as result of COUNTY's disclosure of Requested Information pursuant to the Right-to-Know Law.
- E. The PROVIDER's duties relating to the Right-to Know Law shall survive the expiration of the contract and shall continue as long as the PROVIDER has Requested Information in its possession.

#### XXII. INTERPRETATION

The PROVIDER agrees to waive the general rule of interpretation that "in the event of any ambiguity or issue of construction, the same will be resolved against the drafter of the document." It is declared to be the intention of the PROVIDER and the COUNTY that the public health, safety and welfare be protected and furthered by the contract. Therefore, this contract is to be interpreted in such manner as to favor such public interest as opposed to any private interest.

### XXIII. GOVERNING LAW

The contract shall be governed by the laws of the Commonwealth of Pennsylvania including matters of construction, validity, and performance and any action filed in connection with this contract shall be filed in the Court of Common Pleas of Lehigh County.

#### XXIV. SEVERABILITY

In the event any provision hereof is declared null and void by a court of law, the remaining provisions of this Contract shall remain in full force and effect.

#### XXV. ENTIRE CONTRACT

This contract constitutes the entire understanding of the parties hereto. It supersedes any and all prior written or oral understanding between the parties, and no changes, amendments, or alterations shall be effective unless in writing and signed by both parties and only to the extent therein set forth. No waiver of the breach of any term or condition of the contract shall be deemed to constitute the waiver of any breach of the same or any other term or condition.

IN WITNESS WHEREOF, the parties hereto have executed the within contract by their officials hereunto duly authorized;

PROVIDER	GWIN KROUSE, ESQUIRE
(affix seal)	
Witness;	June M. France Title of Signing Official
Date: $\frac{1}{2} \frac{1}{0}$	
COUNTY OF LEHIGH (affix seal)	
Witness	DONALD T. CUNNINGHAM, JR. COUNTY EXECUTIVE
Date:	

### APPENDIX A: DUTIES

### **Description of Duties of Mental Health Review Officer**

- 1. Conducts informal conferences pursuant to Section 303 of the Mental Health Procedures which involves taking into consideration the following information:
  - (A) Information relevant to whether the person is severely mentally disabled and in need of treatment, including the reasons that continued involuntary treatment is considered necessary;
  - (B) Testimony from a physician who examined the person explaining in terms understandable to a layperson whether the person is severely mentally disabled and in need of treatment;
  - (C) Information contained in, and appended to, the Application for Involuntary Emergency Examination and Treatment under Section 302; and
  - (D) Any other information relevant to the issue of whether the person is severely mentally disabled and in need of treatment, even if such information is normally excludable under the rules of evidence, provided the information is found to be reliable.

and renders decisions at the conclusion of the conferences, which shall either:

- (A) Certify that the person is severally mentally disabled and in need of continued involuntary treatment for a period that shall not exceed twenty (20) days. The certification is filed with the Clerk of Judicial Records-Civil Division and served on the parties as required by Section 303(e) of the Act; or
- (B) Directs that the facility director or his designee discharge the person.
- 2. Conducts hearings pursuant to Section 304 of the Act, which involves taking into consideration the following:
  - (A) Evidence reestablishing that the conduct originally required by Section 301 in fact occurred and that the person's condition continues to evidence a clear and present danger to himself/herself or others. It is not necessary to show the r ecurrence of dangerous conduct within the past 30 days;
  - (B) Information relevant-to whether the person is severely mentally

disabled and in need of treatment, including the reasons that continued involuntary treatment is considered necessary;

- (C) Information contained in, or appended to, the Application for Involuntary Emergency Examination and Treatment under Section 302 or 303;
- (D) Testimony by a physician who examined the person;
- (E) Any other relevant information, even if normally excludable under the rules of evidence, provided the information is found to be reliable.

Renders decisions within 48 hours after the close of evidence, and shall either:

- (A) find that the person is severally mentally disabled and in need of continued involuntary inpatient or outpatient treatment for an additional 60-90 days, subject to judicial review. and issue an appropriate order as set forth in Section 304(f) of the Act; or
- (B) deny the Petition and direct that the facility director or his designee discharge the person.
- 3. Reviews Petitions for Involuntary evaluation and render a decision on whether the patient shall submit to evaluation and/or treatment

#### TIME COMMITMENT

- 1. There are currently two mental health review officers, each of whom sits for two days every other week, usually Tuesdays and Fridays from 7:30 a.m. until 10:00 a.m. Additional emergency hearings may be scheduled as the need arises.
- 2. Hearings are conducted at the following facilities:
  - a. Lehigh Valley Hospital-Muhlenberg
  - b. St. Luke's-Fountain Hill
  - c. Allentown State Hospital
  - d. Sacred Heart Hospital
  - e. Other facilities as needed

# APPENDIX B REQUIRED KNOWLEDGE, SKILL AND ABILITY

## PROVIDER shall possess the following:

- 1. Thorough knowledge of Federal, State and local statutes, regulations, laws, precedents, operations and structure, principles and practices governing and/or affecting Family law;
- 2. Thorough knowledge of the methods and techniques of legal research, procedural and documentary forms and practices, including terminology, commonly employed with civil, criminal, and Family cases;
- 3. Ability to analyze, interpret, and apply legal research methods, techniques and results to the preparation of opinions, memoranda and related legal documents;
- 4. Ability to communicate effectively both orally and in writing;
- 5. Ability to establish and maintain effective working relationships with associates, representatives of judicial agencies, officials of other government agencies, members of the bar and the judiciary, and members of the public;
- 6. Admission to the Pennsylvania Bar; and
- 7. One to two years of experience in legal practice.

# APPENDIX C COMPENSATION

- 1. Total compensation is Twelve Thousand Dollars (\$12,000.00) for the complete term of this Agreement.
- 2. PROVIDER shall submit a monthly bill to the Court Administrator who will forward it to the Fiscal Office of Lehigh County for payment of 1/12 payment of the total annual fee.

# APPENDIX D AMENDMENTS AND SPECIAL PROVISIONS

- 1. Section V.Covenants, Representations and Warranties, subparagraph B5 and B6 are deleted.
  - 2. Section XIII, Insurance, paragraph A.1. is amended as follows:
- "1. Professional Liability Insurance shall be provided for PROVIDER by the COUNTY for services performed under this contract with the following limits: each claim One Million Dollars (\$1,000,000.00); in the aggregate One Million Dollars (\$1,000,000.00)."
- 3. Section XIII, Insurance, Paragraph A.2 is amended as follows: General Liability Insurance
- a. Limit of Liability: \$100,000 per occurrence and \$500,000 in the aggregate and \$100,000 per employee occurrence".
  - 4. Section XIII, Insurance, paragraph A.3 is deleted.
- 5. Section XIII, Insurance, paragraph A.4 is amended as follows: "4. Automobile Liability Insurance
- a. Limit of Liability: \$100,000 per occurrence and \$300,000 in the aggregate, combined single limit for bodily injury (including death) and property damage liability."
  - 6. Section XIII, Insurance, paragraph C is amended as follows:
    - a. Business automobile liability insurance is not required.
  - 7. Section XIII, Insurance paragraph A.5 and A.6 are not applicable.

Contract Number:	
Copy ID#:	
Completed:	

# **COUNTY OF LEHIGH**

# CONTRACT FOR PROFESSIONAL SERVICES

PURPOSE OF CONTRACT: Mental Health Review Officer

AWARDED TO: K. Leigh McCardle, Esquire

Telephone: (484) 695-1051

Fax: (610) 435-4823

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### PROFESSIONAL SERVICE CONTRACT

This is a contract between the County of Lehigh, a Home Rule County of the third class, with offices at 17 South Seventh Street, Allentown, PA, 18101-2400 hereinafter referred to as the COUNTY, and K. Leigh McCardle, Esq. of 3870 Fish Hatchery Road, Allentown, PA 18103, hereinafter referred to as the PROVIDER.

### WITNESSETH,

WHEREAS, the COUNTY wishes to purchase, and the PROVIDER wishes to furnish the services cited below according to all applicable Federal, State, and Local Laws; and,

WHEREAS, the services referred to are professional in nature;

**NOW THEREFORE,** the COUNTY and the PROVIDER, in consideration of the obligations herein undertaken and intending to be legally bound, hereby agree as follows:

### I. SCOPE OF SERVICES

- A. The PROVIDER shall provide the following services: to provide services as a Mental Health Review Officer.
- B. These services and the requirements for their provision are set forth more fully in Appendix 'A' attached hereto and incorporated as if set forth in full.

### II. TERM OF CONTRACT

A. The contract shall become effective when executed by the Lehigh County Executive according to the date parameters as stated below:

Beginning Date: January 1, 2011 Termination Date: December 31, 2011

- B. The contract may be terminated by either party upon default of agreed terms as herein stated, in writing and providing thirty days notification. Should the PROVIDER lose its license or certification the contract may be terminated immediately by the COUNTY. COUNTY may terminate this contract with or without cause, by providing thirty days written notice to the PROVIDER. The County Executive is authorized to terminate any contract with the PROVIDER pursuant to the provisions of this sub-paragraph.
- C. COUNTY shall be liable only for payments due the PROVIDER as set forth in this Contract up to and including the date of termination.

- D. This contract may be extended for two (2) additional terms of one year each, upon signed agreement of both parties. Any renewal must be in writing and signed by both parties.
- E. The PROVIDER and the COUNTY hereby agree the COUNTY may assign any or all of its rights and delegate any or all of its obligations or responsibilities under this Contract upon twenty (20) days written notice to PROVIDER in the manner set forth in Article XVII of this Contract.

#### III. COMPENSATION

- A. The COUNTY shall pay for the services rendered by the PROVIDER, according to the provisions which are identified in Appendix 'B' attached hereto and incorporated by this reference as if set forth in full.
- B. The PROVIDER hereto agrees that any and all payments due from the COUNTY as required under the terms of this contract, are contingent upon the availability of the appropriated funds. If any or all of the funds which are due to the PROVIDER emanate from State or Federal sources, payment is also contingent upon the COUNTY receiving such moneys from the State or Federal Government.
- C. PROVIDER explicitly agrees that it will not submit to COUNTY any false claim, as defined in the False Claims Act, 31 U.S.C. § 3729 et seq.
- D. With respect to any billing, COUNTY reserves the right to withhold payment for any portion of any statement in which it asserts that a discrepancy exists, which discrepancy should be corrected by a new statement. In such instances, COUNTY may withhold payment only for that portion of the statement with which it disagrees. Further, it shall be the duty of COUNTY to notify PROVIDER of any such disagreement or discrepancy as soon as possible.

### IV. SCHEDULE OF ATTACHMENTS

A. The PROVIDER shall be bound by the following appendices attached hereto, incorporated herein as if set forth in full:

Appendix A - Scope of Services

Appendix B - Required Knowledge

Appendix C - Compensation

Appendix D - Amendments and Special Provisions

### V. COVENANTS, REPRESENTATIONS AND WARRANTIES

- A. The COUNTY covenants, represents, and warrants:
  - 1. The person or persons signing on behalf of the COUNTY are duly authorized to do so.
  - 2. That this contract is entered into by the Lehigh County Executive pursuant to his authority under section 402(j) of the Lehigh County Home Rule Charter.
  - 3. That the COUNTY is in compliance with all applicable federal, state and local laws especially, but without limitation to all statutes, ordinances, rules, and regulations governing any and all federal and state funding of the contract.
  - 4. That if the representations in subparagraphs 2 or 3 above should at any time hereafter become incorrect, the COUNTY will promptly take all steps to correct the noncompliance.
- B. The PROVIDER covenants, represents and warrants:
  - 1. The person or persons signing on behalf of the PROVIDER are duly authorized to do so.
  - 2. That the PROVIDER is entering into this contract either in the ordinary course of its business activities or pursuant to a resolution of its Board of Directors (or other governing body) validly called and held. If requested, the resolution, including in it the names and positions of the persons authorized to sign this contract, shall be forwarded to the County upon the signing of this contract.
  - 3. That the PROVIDER now complies with and will continue to comply with for the duration of this contract, all applicable law in its business and activities which pertain to the performance or funding of this contract, including, without limitation, the following:
    - a. The Fair Labor Standards Act, the Labor Management Relations Act (Taft-Hartley); and the Labor Management and Reporting and Disclosure Act (Landrum-Griffin).
    - b. Occupational Safety and Health Act, and OSHA regulations thereunder.
    - c. Worker's Compensation Laws.

- d. The Environmental Protection Act, EPA regulation and the laws and regulations administered by the Pennsylvania Department of Environmental Resources.
- e. Title VII of the Civil Rights Act of 1964, all EEOC regulations and all laws relating to equal employment opportunity.
- f. The Equal Pay for Equal Work Law and all other laws relating to sex discrimination.
- g. The Federal Age Discrimination in Employment Act and any amendments thereto, 29 Section 620 et seq., especially the 1978 amendments thereto, Public 95-256, Section 1 et seq., Act of April 6, 1978, 92 Statute 189 and hereby states that it will not mandate the retirement of any employee on the basis of age, or for any other reason prohibited by the aforesaid act.
- h. The Older Americans Act of 1965 as amended (P.L. 89-73, Section 1, Stat. 219) and the Administrative Code of 1929 as amended by Act 1978-7 (P.L. 177, No. 175) and all regulations promulgated thereunder.
- i. Those laws relating to the fiscal management and accounting of public funds. The COUNTY, in its sole discretion, shall have the privilege of examining and or auditing the records of the PROVIDER which pertain to this contract to ascertain or verify compliance with this subparagraph.
- j. The Americans with Disabilities Act.
- k. The Immigration, Reform, and Control Act of 1986.
- 1. The Pro-Children Act of 1994.
- m. The False Claims Act, 31 U.S.C. § 3729 et seq.
- 4. The PROVIDER acknowledges that in the event there is any violation of applicable laws or regulations by the PROVIDER, the COUNTY may deem the violation to be a breach of this contract by the PROVIDER.
- 5. The PROVIDER agrees that no employee, board member, or representative of the PROVIDER, either personally, or through an agent, shall solicit the referral of clients to any facility in a manner, which offers or implies an offer of rebate to persons referring clients or other fee-splitting inducements. This

applies to consents of fee schedules, billing methods, or personal solicitation. No person or entity involved in the referral of clients may receive payment or other inducement by a facility or its representatives. The PROVIDER shall substantially include the language of this Paragraph in each subcontract under this Contract.

- 6. The PROVIDER agrees that all experimentation with human subjects involving physical or mental risk to those subjects shall be prohibited without the prior written approval of the Secretary of the Department of Health, subject to all applicable laws, statutes and regulations, and voluntary, informed consent of the subject in writing. If the subject is a minor, or incompetent, a voluntary, informed consent of his/her parents or legal guardian shall be required.
- 7. The PROVIDER is duly organized, validly existing, and in good standing under the laws of Pennsylvania and the state in which the PROVIDER is organized as previously noted in the Opening Paragraphs of this Contract.
- 8. That PROVIDER, as a condition precedent to payment, shall upon request of COUNTY promptly furnish evidence of compliance of any sub-paragraph herein.

#### VI. TAXES

- A. The PROVIDER hereby certifies, as a condition precedent to the execution of this contract and as an inducement for the COUNTY to execute same, that it is not "delinquent" on any taxes owed to the County of Lehigh (hereinafter "COUNTY"). "Delinquent" is hereby defined as the point in time at which the collection of the tax becomes the responsibility of the Lehigh County Tax Claim Bureau.
- B. The PROVIDER further agrees, as a specific condition of this contract, that it shall remain current on all of the taxes it owes to the COUNTY. Should the PROVIDER become delinquent on any taxes it owes to the COUNTY during the term of this contract, the PROVIDER may be deemed to be in breach of this contract by the COUNTY and, in addition to any other remedies at law for such breach, the PROVIDER hereby specifically agrees and authorizes the COUNTY to apply all funds when due to the PROVIDER directly to the taxes owed to the COUNTY until said taxes are paid in full.
- C. In the event the PROVIDER becomes delinquent, it hereby authorizes the COUNTY to make payments to the taxing authority for the COUNTY to bring the PROVIDER'S county taxes current.

#### VII. UNDUE INFLUENCE

The PROVIDER agrees not to hire any COUNTY Personnel who may exercise or has exercised discretion in the awarding, administration, or continuance of this contract for up to and including one year following the termination of the employee from COUNTY service. Failure to abide by this provision shall constitute a breach of this contract.

#### VIII. CONFLICT OF INTEREST

- A. The PROVIDER agrees to notify in writing the COUNTY as soon as the PROVIDER learns that:
  - 1. A current employee of the COUNTY has commenced, or is intending to commence, employment with PROVIDER while continuing to maintain COUNTY employment, or
  - 2. A current employee of the COUNTY has performed, or is intending to perform, services to the PROVIDER as an independent contractor while continuing to maintain COUNTY employment. Any written notice required to be given under this section shall specify the COUNTY employee's name, the nature of the COUNTY employee's employment, or the subject of the COUNTY employee's contract with the PROVIDER and the date on which the COUNTY employee's employment or contract with PROVIDER commenced.

### IX. BREACH OF CONTRACT

- A. The PROVIDER agrees that any breach of performance, of any covenant, representation, or warranty, indemnity, or condition, or attached appendices, shall constitute default of this contract.
- B. When a breach of this contract has occurred, the COUNTY, in the exercise of its discretion, may allow PROVIDER a specified period of time to correct its breach of the contract.
- C. If PROVIDER does not correct its violations of the contract as specified, COUNTY may terminate the contract in whole or in part if such partial termination is in the best interest of the COUNTY.

### X. CONFIDENTIALITY

A. The PROVIDER and the COUNTY, their agents and employees shall perform their respective obligations under this contract in such manner as to insure that records, names, and identities of persons to whom services are or have been provided, shall remain confidential except as disclosure is permitted or required by law. Photographs, videos, and/or recordings,

which in any way identify clients, shall not be released without the written consent of the legally responsible party, and the COUNTY representative.

B. Termination of this Contract for any reason shall not relieve the PROVIDER of any of PROVIDER'S obligations as stated in this paragraph.

#### XI. DISCRIMINATION CLAUSE

In carrying out the terms of this contract, both parties agree not to discriminate against any employee or client or other person on account of age, race, color, sex, religious creed, national origin, marital status, or physical or mental disabilities as set forth in the Americans With Disabilities Act of 1990. PROVIDER and COUNTY shall comply with the Contract Compliance Regulations of the Pennsylvania Human Relations Commission, any pertinent Executive Order of the Governor and with all laws prohibiting discrimination in hiring or employment opportunities.

#### XII. INDEMNIFICATION AND HOLD HARMLESS

- A. The PROVIDER shall indemnify and hold harmless the COUNTY and each of its officials, employees, and agents from any and all suits, actions, legal or administrative proceedings, claims, demands, damages, liabilities, interest, attorney's fees, costs and expenses for whatsoever kind or nature, to the extent result from any:
  - 1. Breach of the contract by PROVIDER;
  - 2. Professional error or omission, fault, or negligence by PROVIDER or any one acting under its direction, control of or on its behalf in connection with or incident to its performance of this contract; and
  - 3. General public liability claim arising in connection with the business or activities of PROVIDER which pertains to this contract.
- B. If any claim is made against COUNTY which would give rise to a right of indemnification by COUNTY from PROVIDER, COUNTY will give notice thereof to PROVIDER. The COUNTY may permit the PROVIDER to assume the defense of any such claim, or any litigation resulting therefrom. Counsel for PROVIDER, which will conduct the defense of such claim or litigation, must be approved by COUNTY, whose approval will not unreasonably be withheld. If COUNTY consents to permit PROVIDER to assume defense, COUNTY may participate in such defense. Neither party will consent to entry of any judgement or enter into any settlement without the written consent of the other party, which consent will not unreasonably be withheld. The parties shall cooperate fully with each other and make available to COUNTY all pertinent information under its control.

C. It is expressly understood by PROVIDER that the Pennsylvania state statute, specifically 42 Pa. C.S.A. 8549, which limits recovery against a local government unit and/or its officials and employees to a maximum of \$500,000.00, is not applicable to recovery of damages in an action against PROVIDER.

#### XIII. INSURANCE

A. The PROVIDER shall, at its sole cost and expense, procure and maintain in full force and effect covering the performance of the services rendered under this contract, insurance in the types and limits specified below. In addition to the insurance coverage and limits specified herein, the PROVIDER shall obtain any other insurance coverage as may be required by law.

### 1. Professional Liability Insurance

- a. Limit of Liability: \$1,000,000 by claim and \$2,000,000 in the aggregate.
- b. If PROVIDER is a Medical Professional, PROVIDER shall ensure that all conditions are met for eligibility for MCARE Fund coverage.
- c. Coverage for occurrences happening during the performance of services required under this contract shall be maintained in full force and effect under the policy. The policy shall include a "tail coverage" if a one or two year period of exposure exists.

## 2. General Liability Insurance

- a. Limits of Liability: \$1,000,000 in the aggregate and per occurrence.
- b. Coverage: Premise operations, blanket contractual liability, personal injury liability (employee exclusion deleted), products and completed operations, independent contractors, employees and volunteers as additional insureds, joint liability, and broad form property damage (including completed operations).

#### 3. Worker's Compensation and Employer's Liability Insurance

- a. Limits of Liability: Statutory Limits.
- b. Other States' coverage and Pennsylvania endorsement.

## 4. Automobile Liability Insurance

- a. Limit of Liability: \$1,000,000 per occurrence combined single limit for bodily injury (including death) and property damage liability.
- b. Coverage: Owner, non-owned and hired vehicles.
- c. PROVIDER shall ensure that all staff operating said vehicles are licensed drivers and properly insured.

## 5. Umbrella Excess Liability

- a. \$5,000,000 in the aggregate and per occurrence.
- b. Coverage provided shall be over auto liability, general liability, and professional liability.
- 6. Abuse/Sexual Molestation and Corporal Punishment Liability Insurance.
  - a. \$1,000,000 per occurrence; \$3,000,000 in the aggregate.
- B. All insurance provided for in this section shall be obtained under valid and enforceable policies issued by insurers of recognized responsibility, which are licensed to do business in the Commonwealth of Pennsylvania. The COUNTY prefers that Certificates of Insurance evidencing the existence of such insurance shall be submitted to the COUNTY at least ten (10) calendar days before work is begun. If the term of this contract coincides with the term of the PROVIDER'S insurance coverage, a Certificate from the expiring policy will be accepted, but a Certificate evidencing renewed coverage of a new policy must be presented to the COUNTY no later than thirty (30) days after the effective date of the policy.
- C. Each policy and Certificate of Insurance shall contain: an endorsement naming the COUNTY as Additional Insured party thereunder; and a provision that at least thirty (30) calendar days prior written notice be given to the COUNTY in the event coverage is canceled or non-renewed or coverage reduced.
- D. If the PROVIDER desires to self insure any or all of the coverages listed in this section, it shall provide to the COUNTY documentation that such self insurance has received all the approvals required by law or regulations, as well as the most recent audited financial statement of the PROVIDER'S insurance. Any coverage which is self insured shall provide the same coverage, limits and benefits as the coverages listed in this section.
- E. If the PROVIDER fails to obtain or maintain the required insurance, the COUNTY shall have the right to treat such failure as a material breach of the contract and to

exercise all appropriate rights and remedies.

- F. PROVIDER shall include all subcontractors as insurers under its policies or shall furnish separate Certificates and endorsements for each subcontractor. All coverages for subcontractors shall be subject to all of the requirements stated in this contract.
- G. Any modification or waiver of the insurance requirements contained in this section shall be set forth in Appendix 'C'.

#### XIV. INDEPENDENT CONTRACTOR

- A. The PROVIDER is deemed an Independent Contractor and shall not during the term of this contract assign, subcontract, transfer, or otherwise delegate all or part of its obligations or responsibilities without prior written approval of the COUNTY. No relationship of employer-employee is intended nor created by this contract, it being understood that PROVIDER shall render services to the COUNTY on an independent contractor basis. PROVIDER is not entitled to any benefits from the COUNTY including but not limited to compensation other than that set forth in the 'Compensation' section, Worker's Compensation, unemployment insurance or benefits, retirement benefits, pension benefits, Social Security or disability benefits, and professional liability insurance and/or deductibles. PROVIDER expressly agrees and acknowledges that the COUNTY will deduct no employment taxes from any compensation paid to PROVIDER and that PROVIDER will be responsible for the payment of all taxes whatsoever in connection with any compensation received from the COUNTY.
- B. The PROVIDER further agrees and acknowledges that PROVIDER is not authorized under the terms of this contract to bind the COUNTY in any contractual undertakings with any third parties as a result of the within contract and PROVIDER will not make any representation that it is capable of binding the COUNTY.

#### XV. MODIFICATION

This document and all attachments which have been incorporated by reference contain all the terms, provisions, and conditions of this contract. No term or provision may be unilaterally modified or amended. Any alteration, variation, modification, or waiver of a provision of this contract shall be valid only when reduced to writing, duly signed by the parties of this contract, and attached to the original of the contract.

#### XVI. REPORT

- A. COUNTY may require PROVIDER to supply to COUNTY reports in the manner, at the times and in the form as prescribed by COUNTY.
  - B. If a final report or study is delivered to COUNTY pursuant to this contract, a copy

of the final report or study shall be provided directly by the PROVIDER to the Board of Commissioners. PROVIDER agrees to present publicly the final report or study should a committee of the Board of Commissioners so desire.

#### XVII. NOTICES

Any notices required to be given pursuant to the terms and provisions hereof shall either be served in person, evidenced by a signed and dated receipt, by facsimile transmission, or by depositing such notice in the United States mail, certified, with certification and postage charges prepaid. In the event of service of notice upon either party pursuant to the terms of this paragraph, their respective facsimile transmission numbers and addresses are as follows:

#### PROVIDER:

K. Leigh McCardle, Esq. 3870 Fish Hatchery Road Allentown, PA 18103 Phone: (484) 695-1051

Fax: (610) 435-4823

#### **COUNTY:**

County of Lehigh Lehigh County Courthouse 455 W. Hamilton Street Allentown, PA 18101 Attn: William Berndt

Phone: (610) 782-3181 Fax: (610) 820-3093

B. The PROVIDER shall notify the COUNTY in writing prior to changes in the PROVIDER'S location, mailing address, phone number, facsimile number, or name.

#### XVIII. PENNSYLVANIA CERTIFICATION

The PROVIDER shall provide annually, upon renewal, copies of required certifications or licenses. The PROVIDER shall notify the COUNTY in writing within five (5) working days of any loss of its Pennsylvania certification or licensure for any of the services being provided to the COUNTY. Upon notification of any loss of certification/licensor for any of the services being provided to the COUNTY, the COUNTY may terminate this contract immediately.

#### XIX. PROFESSIONALISM

It is contemplated, expected and understood by the parties that PROVIDER will execute and perform the services to be provided to COUNTY in a professional and ethical manner. All

work performed or managed by PROVIDER must be of the highest quality and should conform to all standards, safety guidelines, and design conditions as may be imposed by legitimate regulatory organizations, including governmental agencies and municipalities. All services to be performed under this Contract shall be performed in the most cost-effective manner while still achieving the objectives of COUNTY.

#### XX. AUDIT

- A. The PROVIDER shall maintain and retain all books, documents, papers, and records of the PROVIDER which are related to the performance of this contract or payment under this contract for a period of seven (7) years following final performance under this contract. The record shall properly reflect all net costs, direct and indirect, of labor, materials, equipment, supplies and services and other costs and expenses of whatever nature for which reimbursement is claimed under the provisions of this Contract. If PROVIDER is not a public body, PROVIDER agrees to maintain records which comply with the nationally accepted uniform Standards of Accounting and Financial reporting for Voluntary Health and Welfare Organization.
- B. The Lehigh County Controller, or any of his/her duly authorized representatives shall, at reasonable times, during the term of this contract and until seven (7) years after the final performance under this contract, have access to and the right to examine any books, documents, papers, and records of the PROVIDER which are related to the performance of this contract or payment under this contract for compliance, performance or evaluation.
- C. PROVIDER shall provide to the COUNTY an audit of the financial transactions and/or units of service of the PROVIDER, by an independent auditor, in accordance with the accepted and required auditing standards of COUNTY. Cost of such audit shall be borne by the PROVIDER.

## XXI. PROVIDER RESPONSIBILITY PROVISIONS

- A. PROVIDER certifies that it is not currently under suspension or debarment by the Commonwealth, any other state, or the federal government, and if the PROVIDER cannot so certify, then it agrees to submit along with the bid/proposal a written explanation of why such certification cannot be made.
- B. If PROVIDER enters into subcontracts or employs under this contract any subcontractors/individuals who are currently suspended or debarred by the Commonwealth or federal government or who become suspended or debarred by the Commonwealth or federal government during the term of this contract or any extension or renewals thereof, the COUNTY shall have the right to require the PROVIDER to terminate such subcontracts or employment.
  - C. The PROVIDER agrees to reimburse the COUNTY for the reasonable costs of

investigation incurred by the Office of Inspector General for investigation of the PROVIDER'S compliance with the terms of this or any other contract between the PROVIDER and the Commonwealth which result in the suspension or debarment of the contractor. Such costs shall include, but are not limited to, salaries of investigators, including overtime, travel and lodging expenses, and expert witness and documentary fees. The PROVIDER shall not be responsible for investigative costs for investigations which do not result in the contractor's suspensions or debarment.

D. The PROVIDER may obtain the current list of suspended and debarred contractors by contacting the:

Department of General Services Office of Chief Counsel 603 North Office Building Harrisburg, PA 17125 Phone: (717) 783-6472

Fax: (717) 787-9138

#### XXII. CONFIDENTIAL INFORMATION

The PROVIDER has not included confidential or proprietary information or trade secrets as part of any submission to COUNTY. If the PROVIDER has determined that it must divulge such information as part of any submission to COUNTY, the PROVIDER submitted to COUNTY a signed written statement to that effect in accordance with65 P.S. §67.707(b) and additionally provided a redacted version of its submission, which removed only the confidential or proprietary information and trade secrets for public disclosure purposes.

#### XXIII. RIGHT TO KNOW

- A. The Pennsylvania Right to Know Law, 65 P.S. §67.101-3104, applies to this Agreement and all documents provided to COUNTY in connection with the administration of the Project Funding.
- B. In the event COUNTY receives a Right-To-Know Law request regarding documents or information in the PROVIDER's possession and relating to the contract or performance thereunder ("Requested Information"), the PROVIDER agrees to provide COUNTY with access to, and copies of, the Requested Information within Fourteen (14) calendar days after notification from COUNTY, and to provide such other assistance as COUNTY may request in order to comply with the Right-to-Know Law.
- C. The PROVIDER agrees that COUNTY's determination as to whether Requested Information is a public record under the Right-to-Know Law is dispositive of the question as between the parties and the PROVIDER agrees not to challenge COUNTY's determination. The

PROVIDER will have the opportunity to submit a written statement to COUNTY explaining why the Requested Information is exempt from public disclosure under the Right-to-Know Law for up to seven (7) calendar days after receiving the request.

- D. The PROVIDER agrees to abide by any decision of the Pennsylvania Office of Open Records, or by the Pennsylvania Courts, to release a record to the public and agrees to release all rights and remedies that may be available to it as result of COUNTY's disclosure of Requested Information pursuant to the Right-to-Know Law.
- E. The PROVIDER's duties relating to the Right-to Know Law shall survive the expiration of the contract and shall continue as long as the PROVIDER has Requested Information in its possession.

#### XXII. INTERPRETATION

The PROVIDER agrees to waive the general rule of interpretation that "in the event of any ambiguity or issue of construction, the same will be resolved against the drafter of the document." It is declared to be the intention of the PROVIDER and the COUNTY that the public health, safety and welfare be protected and furthered by the contract. Therefore, this contract is to be interpreted in such manner as to favor such public interest as opposed to any private interest.

#### XXIII. GOVERNING LAW

The contract shall be governed by the laws of the Commonwealth of Pennsylvania including matters of construction, validity, and performance and any action filed in connection with this contract shall be filed in the Court of Common Pleas of Lehigh County.

#### XXIV. SEVERABILITY

In the event any provision hereof is declared null and void by a court of law, the remaining provisions of this Contract shall remain in full force and effect.

#### XXV. ENTIRE CONTRACT

This contract constitutes the entire understanding of the parties hereto. It supersedes any and all prior written or oral understanding between the parties, and no changes, amendments, or alterations shall be effective unless in writing and signed by both parties and only to the extent therein set forth. No waiver of the breach of any term or condition of the contract shall be deemed to constitute the waiver of any breach of the same or any other term or condition.

IN WITNESS WHEREOF, the parties hereto have executed the within contract by their officials hereunto duly authorized;

PROVIDER	K. LEIGH McCARDLE, ESQUIRE
(affix seal)	
Witness: Menly Ruphbuch	Title of Signifig Official
Date: $\frac{12}{2}$	
COUNTY OF LEHIGH (affix seal)	
Witness	DONALD T. CUNNINGHAM, JR. COUNTY EXECUTIVE
Date:	·

### APPENDIX A: DUTIES

#### **Description of Duties of Mental Health Review Officer**

- 1. Conducts informal conferences pursuant to Section 303 of the Mental Health Procedures which involves taking into consideration the following information:
  - (A) Information relevant to whether the person is severely mentally disabled and in need of treatment, including the reasons that continued involuntary treatment is considered necessary;
  - (B) Testimony from a physician who examined the person explaining in terms understandable to a layperson whether the person is severely mentally disabled and in need of treatment:
  - (C) Information contained in, and appended to, the Application for Involuntary Emergency Examination and Treatment under Section 302; and
  - (D) Any other information relevant to the issue of whether the person is severely mentally disabled and in need of treatment, even if such information is normally excludable under the rules of evidence, provided the information is found to be reliable.

and renders decisions at the conclusion of the conferences, which shall either:

- (A) Certify that the person is severally mentally disabled and in need of continued involuntary treatment for a period that shall not exceed twenty (20) days. The certification is filed with the Clerk of Judicial Records-Civil Division and served on the parties as required by Section 303(e) of the Act; or
- (B) Directs that the facility director or his designee discharge the person.
- 2. Conducts hearings pursuant to Section 304 of the Act, which involves taking into consideration the following:
  - (A) Evidence reestablishing that the conduct originally required by Section 301 in fact occurred and that the person's condition continues to evidence a clear and present danger to himself/herself or others. It is not necessary to show the r ecurrence of dangerous conduct within the past 30 days;
  - (B) Information relevant-to whether the person is severely mentally

disabled and in need of treatment, including the reasons that continued involuntary treatment is considered necessary;

- (C) Information contained in, or appended to, the Application for Involuntary Emergency Examination and Treatment under Section 302 or 303;
- (D) Testimony by a physician who examined the person;
- (E) Any other relevant information, even if normally excludable under the rules of evidence, provided the information is found to be reliable.

Renders decisions within 48 hours after the close of evidence, and shall either:

- (A) find that the person is severally mentally disabled and in need of continued involuntary inpatient or outpatient treatment for an additional 60-90 days, subject to judicial review. and issue an appropriate order as set forth in Section 304(f) of the Act; or
- (B) deny the Petition and direct that the facility director or his designee discharge the person.
- 3. Reviews Petitions for Involuntary evaluation and render a decision on whether the patient shall submit to evaluation and/or treatment

#### TIME COMMITMENT

- 1. There are currently two mental health review officers, each of whom sits for two days every other week, usually Tuesdays and Fridays from 7:30 a.m. until 10:00 a.m. Additional emergency hearings may be scheduled as the need arises.
- 2. Hearings are conducted at the following facilities:
  - a. Lehigh Valley Hospital-Muhlenberg
  - b. St. Luke's-Fountain Hill
  - c. Allentown State Hospital
  - d. Sacred Heart Hospital
  - e. Other facilities as needed

# APPENDIX B REQUIRED KNOWLEDGE, SKILL AND ABILITY

## PROVIDER shall possess the following:

- 1. Thorough knowledge of Federal, State and local statutes, regulations, laws, precedents, operations and structure, principles and practices governing and/or affecting Family law;
- 2. Thorough knowledge of the methods and techniques of legal research, procedural and documentary forms and practices, including terminology, commonly employed with civil, criminal, and Family cases;
- 3. Ability to analyze, interpret, and apply legal research methods, techniques and results to the preparation of opinions, memoranda and related legal documents;
- 4. Ability to communicate effectively both orally and in writing;
- 5. Ability to establish and maintain effective working relationships with associates, representatives of judicial agencies, officials of other government agencies, members of the bar and the judiciary, and members of the public;
- 6. Admission to the Pennsylvania Bar; and
- 7. One to two years of experience in legal practice.

# APPENDIX C COMPENSATION

- 1. Total compensation is Twelve Thousand Dollars (\$12,000.00) for the complete term of this Agreement.
- 2. PROVIDER shall submit a monthly bill to the Court Administrator who will forward it to the Fiscal Office of Lehigh County for payment of 1/12 payment of the total annual fee.

# APPENDIX D AMENDMENTS AND SPECIAL PROVISIONS

- 1. Section V.Covenants, Representations and Warranties, subparagraph B5 and B6 are deleted.
  - 2. Section XIII, Insurance, paragraph A.1. is amended as follows:
- "1. Professional Liability Insurance shall be provided for PROVIDER by the COUNTY for services performed under this contract with the following limits: each claim One Million Dollars (\$1,000,000.00); in the aggregate One Million Dollars (\$1,000,000.00)".
  - 3. Section XIII, Insurance, paragraph A.2. and A.3 are deleted.
- 4. Section XIII, Insurance, paragraph A.4 is amended as follows: "4. Automobile Liability Insurance
- a. Limit of Liability: \$100,000 per occurrence and \$300,000 in the aggregate, combined single limit for bodily injury (including death) and property damage liability."
  - 5. Section XIII, Insurance, paragraph C is amended as follows:
    - a. Business automobile liability insurance is not required.
  - 6. Section XIII, Insurance, paragraphs A.5 and A.6 are not applicable.

### COUNTY OF LEHIGH ORDINANCE 2011 - NO. 104

### **CERTIFICATION**

I, DAVID BARILLA, Clerk to the Board of Commissioners of Lehigh County, do hereby certify that the attached is a true and correct copy of the ordinance adopted at a regular meeting of the Commissioners of Lehigh County held on the 9th day of February, 2011, and approved on the 16th day of February, 2011 by the Lehigh County Executive, and effective on the 26th day of February, 2011.

> DAVID BARILLA, Clerk **Board of Commissioners**